



02-11-02

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UTILITY

PATENT APPLICATION

TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-9152-1D US

First Named Inventor or
Application Identifier

Michael R. Krames et al.

Title

**III-Nitride Light-Emitting Device With Increased Light
Generating Capability**

Express Mail Label No.

EL 710 214 375 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- 1.
- ☒
- Fee Transmittal Form -
- see page 2 of this form.*
-
- (Submit an original, and a duplicate for fee processing)

2. Application:

- ☒
- Specification: (preferred arrangement set forth below)
-
- Descriptive title of the Invention,
-
- Cross References to Related Applications,
-
- Reference to Microfiche Appendix,
-
- Background of the Invention,
-
- Brief Summary of the Invention,
-
- Brief Description of the Drawings, and
-
- Detailed Description (all totaling 19 pages)
-
- Appendix(es) _____, & _____ (_____ pages)

- ☒
- Claim(s) 3 pages

- ☒
- Abstract of the Disclosure 1 page

- 3.
- ☒
- Drawing(s) (35 USC 113) [Total Sheets 23]

- 4.
- ☐
- Oath or Declaration
- ☐
- unsigned [Total Pages _____]

- ☐
- Newly executed (original or copy)

- ☒
- Copy from prior application (37 CFR §1.63(d))
-
- (for continuation/divisional with Box 17 completed)

- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

- 5.
- ☒
- Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.

- 6.
- ☐
- Microfiche Computer Program Appendix
-
- consisting of _____ pages of microfiche containing _____
-
- frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission
-
- (if applicable, all necessary)

- a.
- ☐
- Computer Readable Copy
-
- b.
- ☐
- Paper Copy (identical to computer copy)
-
- c.
- ☐
- Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8.
- ☐
- Assignment Papers (cover sheet & documents) 4 pages

- 9.
- ☐
- 37 CFR §3.73(b) Statement
- ☒
- Power of Attorney
-
- (combined when there is an _____ with Patent Declaration
-
- Assignee) above.)

- 10.
- ☐
- English Translation Document (if applicable)

- 11.
- ☒
- Information Disclosure
- ☒
- 41 Copies of IDS
-
- Statement (IDS) &
- ☒
- PTO-1449 Citations/References

- 12.
- ☐
- Preliminary Amendment _____ pages

- 13.
- ☒
- Return Receipt Postcard (MPEP 503)
-
- (should be specifically itemized)

14. Small Entity Status

- ☐
- Small Entity Statement Enclosed _____ pages
-
- ☐
- Statement filed in prior application; and
-
- status still proper and desired
-
- ☐
- Is no longer claimed.

- 15.
- ☐
- Certified Copy of Priority Document(s)
-
- (if foreign priority is claimed)

- 16.
- ☐
- Other:

- ☐
- Copy of Petition for Extension of Time filed in parent appln.;

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

- ☐
- Continuation
- ☒
- Divisional of prior application No.
- 09/469,657
- Filed on
- December 22, 1999
- , entitled:
- "III-Nitride
Light-Emitting Device With Increased Light Generating Capability"
- .

PRIOR APPLICATION INFORMATION: Examiner MEIER, S. Group Art Unit 2822

18. CORRESPONDENCE ADDRESS

- ☐
- Customer Number or Bar Code Label

- or
- ☒
- Correspondence address below

Name **Rachel V. Leiterman**

Reg. No. 46,868

Attorneys for **Skjerven Morrill MacPherson LLP**
ApplicantAddress **25 Metro Drive, Suite 700**City **San Jose**State **CA**Zip Code **95110**Country: **United States** Telephone **(408) 453-9200** Fax **(408) 453-7979**

**19. Fee calculations.**

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
8	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$ 0 00
1	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0 00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$270 00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$ 710.00
						Total of above Calculations	=	\$ 710.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	
						TOTAL	=	\$ 710.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No.

19-2386:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
 b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
 c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME	Rachel V. Leiterman				
ADDRESS	25 Metro Drive, Suite 700				
CITY	San Jose	STATE	California	ZIP CODE	95110
COUNTRY	USA	TELEPHONE	(408) 453-9200	FAX	(408) 453-7979

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	February 7, 2002
Name	Rachel V. Leiterman Reg. No. 46,868
Signature	
Express Mail Label No.	EI 710 214 375 US